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Intellectual Property Department
170 Wood Avenue South
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Attn: Elsa Keller, Legal Department
Telephone: 732-321-3026

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April 21, 2004

Vicki W. Chia

By: Vicki W. Chia

ATTORNEY DOCKET NO.: 2003P19072US

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): William J. Park, et al.

Serial No.: Unassigned **10800950**

Filing Date: March 15, 2004

Title: **SYSTEM AND METHOD FOR ACTIVELY COOLING TRANSDUCER ASSEMBLY ELECTRONICS**

Examiner: Unassigned

Art Unit: Unassigned

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of either a first office action on the merits or a first office action after filing of a Request for Continued Examination under § 1.114; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Certification under 37 CFR 1.97 (e), or
☐ A \$180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account **19-2179**.
At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Certification under 37 CFR 1.97 (e), and
☐ the required fee under 37 CFR 1.97 (d) (2) which is \$180.00 under 37 CFR 1.17(p) is authorized to be charged to Deposit Account **19-2179**. (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97 (i)
☐ Filed after payment of issue fee, but before grant of patent - No fee or certification required

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

[] A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on the attached sheet or by the enclosed English-language search report.

[] The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or


[] The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

[X] Applicants do not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is, or is considered to be material to patentability.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

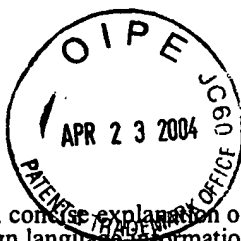


Johnny G. Ko, Reg. No. 44,190

Attorney for Applicant(s)

Date: 4/21/04

Telephone No.: (650) 694-5810



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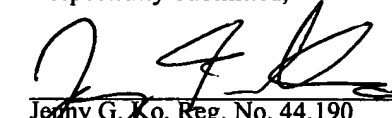
☐ The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

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Respectfully Submitted,


Jeremy G. Ko, Reg. No. 44,190
Attorney for Applicant(s)
Date: 4/21/04
Telephone No.: (650) 694-5810

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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Complete if Known

Application Number

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Filing Date

03/15/2004

First Named Inventor

Park, et al.

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

2003P19072US

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.